

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Greg B. Garrison

Serial No.: 09/389,819

Filed: September 03, 1999

For: SYSTEM AND METHOD FOR ENCRYPTING  
DATA MESSAGES

)

) Confirmation No.: 5925

) Art Unit: 2131

) Examiner: Klimach, Paula W.

) Docket No. 192304-1035

)

**Request for Initialed PTO-1449**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

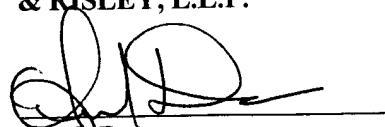
Applicant filed Information Disclosure Statements on October 18, 2000, and July 5, 2000. Submitted herewith are copies of these Information Disclosure Statements, each of which showing a properly executed certificate of mailing. Also submitted herewith are postcards showing the aforementioned Information Disclosure statements were received by the Patent Office. Each of the Information Disclosure Statements included a Form PTO-1449. However, Applicant has not received initialed copies of the submitted forms. In this regard, Applicant submitted a Request for Initialed PTO-1449 on May 14, 2004 but has not yet received the initialed copies. Submitted herewith is a copy of such request. Applicant respectfully requests that initialed copies of the foregoing forms be mailed to Applicant.

If the Examiner has any questions or comments regarding this paper, the Examiner is encouraged to telephone Applicant's undersigned counsel.

Respectfully submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

  
Ann I. Dennen  
Reg. No. 44,651  
(256) 704-3900 Ext. 101

Thomas, Kayden, Horstemeyer & Risley, LLP  
100 Galleria Parkway, Suite 100  
Atlanta, GA 30339-5948



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In Re Application of: \_

Garrison

Group Art Unit: To Be Assigned

Serial No.: 09/389,819

Examiner: To Be Assigned

Filed: September 3, 1999

Docket No.: 192304-1035

For: SYSTEM AND METHOD FOR ENCRYPTING DATA MESSAGES

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement Under 37 C.F.R. 1.97(e), or  
☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of \$\_\_\_\_\_. Please charge \$\_\_\_\_\_ to deposit account \_\_\_\_\_. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449 - Information Disclosure Citation* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.
- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.

By:

  
Jon E. Holland; Reg. No. 41,077

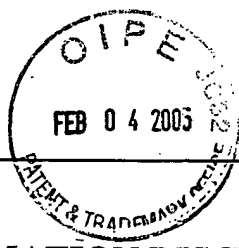
100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948  
770-933-9500

**CERTIFIED MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "First Class Mail," in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Box IDS, Washington, D.C.

20231 on October 18, 2000

  
Signature



Form PTO-1449

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*Attorney Docket No.  
**192304-1035**Serial No.  
**09/389,819**Applicant  
**Garrison**Filing Date  
**Sept. 3, 1999**Group  
**To Be Assigned****U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	5,944,824	08/31/99	He	713	201	
	B	6,088,699	07/11/00	Gampper et al.	707	10	
	C	6,088,700	07/11/00	Larsen et al.	707	10	
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	L							
	M							
	N							
	O							

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, etc.)*

	P	
	Q	
	R	

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

Serial No.: 09/382,812 Filed: Sept. 3, 1992  
Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_  
TM/SM Reg.: \_\_\_\_\_ Registered: \_\_\_\_\_  
Applicant: GOMISON  
Title: System for encrypting Data Messages  
Docket No.: 192304-1035 C/M Date: 7/5/00  
EM No.: \_\_\_\_\_ Due Date: -1-1-

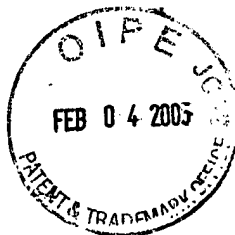
PATENT AND TRADEMARK  
OFFICE STAMP HEREON  
ACKNOWLEDGES RECEIPT OF  
THE BELOW IDENTIFIED JUL 11 2000  
APPLICATION & PAPER

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Fees ☐ Check \$ \_\_\_\_\_  
☐ Deposit Account: \_\_\_\_\_  
\$ \_\_\_\_\_

- |  |  |
|--|--|
| <input type="checkbox"/> Missing Parts Response  | <input type="checkbox"/> Statement of Use & Declaration<br>_____ Specimen(s)               |
| <input type="checkbox"/> Verified Small Entity Statement(s)<br>Under 37 CFR 1.9 and 1.27 | <input type="checkbox"/> Affidavit of Use _____ Specimens                                  |
| <input type="checkbox"/> Declaration and Power of Attorney                               | <input type="checkbox"/> \$ 8 Only <input type="checkbox"/> §§ 8 & 15                      |
| <input type="checkbox"/> Amendment   | <input type="checkbox"/> Application for Renewal   |
| <input type="checkbox"/> [ ] Non-Final [ ] Final   | <input type="checkbox"/> TM [ ] SM _____ Specimens   |
| <input type="checkbox"/> Preliminary Amendment   | <input type="checkbox"/> Request for Extension of Time<br>to File Statement of Use - _____ |
| <input type="checkbox"/> Petition for Extension of<br>Time to: <u>/ /</u>                |  |
| <input type="checkbox"/> Amendment Transmittal Page                                      |  |
| <input type="checkbox"/> Status Inquiry (in duplicate)                                   |  |
| <input type="checkbox"/> Assignment [ ] Cover Sheet                                      |  |
| <input type="checkbox"/> IDS/Form PTO-1449   |  |
| <input type="checkbox"/> Copies of Cited Prior Art Docs. - <u>6</u>                      |  |
| <input type="checkbox"/> Issue Fee Transmittal [ ] Duplicate                             |  |
| <input type="checkbox"/> Maintenance Fee Transmittal                                     |  |
| <input type="checkbox"/> [ ] 3.5 [ ] 7.5 [ ] 11.5  |  |
| <input type="checkbox"/> [ ] in Duplicate  |  |
| <input type="checkbox"/> Terminal Disclaimer   |  |
| <input type="checkbox"/> Request for Correction  |  |
| <input type="checkbox"/> Other: _____  |  |

Initials of Person Mailing: CLS BEST AVAILABLE COPY



Serial No.: 09/382,812 Filed: Sept. 3, 1992  
Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_  
TM/SM Reg.: \_\_\_\_\_ Registered: \_\_\_\_\_  
Applicant: Gardner  
Title: System for Encrypted Data Messages  
Docket No.: 192304-1035 C/M Date: 7/5/00  
EM No.: \_\_\_\_\_ Due Date: -1-1-

**PATENT AND TRADEMARK  
OFFICE STAMP HEREON  
ACKNOWLEDGES RECEIPT OF  
THE BELOW IDENTIFIED  
APPLICATION & PAPERS:**

**USPTO STAMP**

Fees ☐ Check \$ \_\_\_\_\_  
☐ Deposit Account: \_\_\_\_\_  
\$ \_\_\_\_\_

- ☐ Missing Parts Response
- ☐ Verified Small Entity Statement(s)  
Under 37 CFR 1.9 and 1.27
- ☐ Declaration and Power of Attorney
- ☐ Amendment
  - ☐ Non-Final ☐ Final
- ☐ Preliminary Amendment
- ☐ Petition for Extension of  
Time to:   /  /
- ☐ Amendment Transmittal Page
- ☐ Status Inquiry (in duplicate)
- ☐ Assignment ☐ Cover Sheet
- ☒ IDS/Form PTO-1449
- ☒ Copies of Cited Prior Art Docs. - 6
- ☐ Issue Fee Transmittal ☐ Duplicate
- ☐ Maintenance Fee Transmittal
  - ☐ 3.5 ☐ 7.5 ☐ 11.5
  - ☐ in Duplicate
- ☐ Terminal Disclaimer
- ☐ Request for Correction
- ☐ Other: \_\_\_\_\_

- ☐ Statement of Use & Declaration  
\_\_\_\_ Specimen(s)
- ☐ Affidavit of Use \_\_\_\_ Specimens
- ☐ § 8 Only ☐ §§ 8 & 15
- ☐ Application for Renewal
- ☐ TM ☐ SM \_\_\_\_ Specimens
- ☐ Request for Extension of Time  
to File Statement of Use - \_\_\_\_\_

\*\*\*\*\*

- ☒ Certificate of Mailing ☒ Reg. ☐ Exp.
- ☐ \_\_\_\_ Sheets of Drawings
  - ☐ Formal ☐ Informal
- ☐ Letter/Transmittal to Draftsman
  - ☐ Prior to NOA ☐ Within NOA
- ☐ Notice of Appeal
- ☐ Appeal Brief
- ☐ Request for Refund
- ☐ Petition to Make Special

Initials of Person Mailing: CDs

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

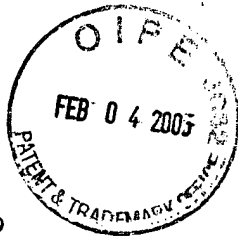
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Group Art Unit: To Be Assigned

Examiner: To Be Assigned

Docket No.: 192304-1035

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Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:


  
Jon E. Holland, Reg. No. 41,077

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948  
770-933-9500

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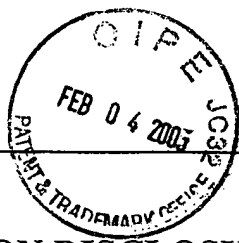
I hereby certify that this correspondence is being deposited with the United States Postal Service as "First Class Mail," in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Box IDS, Washington, D.C.

20231 on July 5, 2000

  
Signature



Form PTO-1449

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*Attorney Docket No.  
**192304-1035**Serial No.  
**09/389,819**Applicant  
**Garrison**Filing Date  
**Sept. 3, 1999**Group  
To Be Assigned**U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	5,768,381	06/16/98	Hawthorne	380	21	
	B	5,196,840	03/23/93	Leith et al.	340	825.3	
	C	6,047,072	04/04/00	Field et al.	380	283	
	D	5,202,922	04/13/93	Iijima	380	45	
	E	4,731,840	03/15/88	Mniszewski et al.	380	21	
	F						
	G						
	H						
	I						
	J						
	K						

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	L WO 95/08232	03/23/95	PCT	H04L	9/08	X	
	M						
	N						
	O						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	P	
	Q	
	R	

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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